

## MAPPINGS BETWEEN LATTICES OF RADICAL SUBMODULES

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Received: 29 March 2015; Revised: 12 December 2015

Communicated by A. Çiğdem Özcan

**ABSTRACT.** Let  $R$  be a ring and  $\mathcal{R}(M)$  be the lattice of radical submodules of an  $R$ -module  $M$ . Although the mapping  $\rho : \mathcal{R}(R) \rightarrow \mathcal{R}(M)$  defined by  $\rho(I) = \text{rad}(IM)$  is a lattice homomorphism, the mapping  $\sigma : \mathcal{R}(M) \rightarrow \mathcal{R}(R)$  defined by  $\sigma(N) = (N : M)$  is not necessarily so. In this paper, we examine the properties of  $\sigma$ , in particular considering when it is a homomorphism. We prove that a finitely generated  $R$ -module  $M$  is a multiplication module if and only if  $\sigma$  is a homomorphism. In particular, a finitely generated module  $M$  over a domain  $R$  is a faithful multiplication module if and only if  $\sigma$  is an isomorphism.

**Mathematics Subject Classification (2010):** 13C13, 13C99, 06B99

**Keywords:**  $\sigma$ -modules, multiplication modules, primeful modules

### 1. Introduction

Throughout this paper all rings are commutative with identity and all modules are unitary. Let  $R$  be a ring. For a submodule  $N$  of an  $R$ -module  $M$ ,  $(N : M)$  is the ideal  $\{r \in R \mid rM \subseteq N\}$  of  $R$ . As usual,  $M$  is called *faithful* when  $(0 : M) = 0$ .

Let  $M$  be an  $R$ -module and  $\mathcal{L}_R(M)$  denote the lattice of submodules of  $M$  with respect to the following definitions:

$$N \vee L = N + L \text{ and } N \wedge L = N \cap L,$$

for all submodules  $N$  and  $L$  of  $M$ . In particular, we shall denote the lattice  $\mathcal{L}_R(R)$  by  $\mathcal{L}(R)$ . Now consider the mapping  $\lambda : \mathcal{L}(R) \rightarrow \mathcal{L}_R(M)$  given by  $\lambda(I) = IM$ , and the mapping  $\mu : \mathcal{L}_R(M) \rightarrow \mathcal{L}(R)$  given by  $\mu(N) = (N : M)$ . It is easily seen that  $\lambda(I \vee J) = \lambda(I) \vee \lambda(J)$  and  $\mu(N \wedge L) = \mu(N) \wedge \mu(L)$ . An  $R$ -module  $M$  is called a  $\lambda$ -*module* (resp.  $\mu$ -*module*) if  $\lambda(I \wedge J) = \lambda(I) \wedge \lambda(J)$  (resp.  $\mu(N + L) = \mu(N) + \mu(L)$ ). In other words,  $\lambda$  (resp.  $\mu$ ) is a lattice homomorphism. These notions have been introduced by P. F. Smith in [16]; he studied conditions under which  $\lambda$  and  $\mu$  are homomorphisms and, in particular, isomorphisms. By [16, Lemmas 1.3 and 1.4],  $\lambda$  is an isomorphism if and only if  $\mu$  is an isomorphism and in this case  $\lambda$  and  $\mu$  are inverses of each other. The module  $M$  is called multiplication whenever  $\lambda$  is

a surjection, i.e., for every submodule  $N$  of  $M$  there exists an ideal  $I$  of  $R$  such that  $N = IM$ . In this case, we can take  $I = (N : M)$  (see for example [2,4]). It is shown that if  $M$  is a faithful multiplication  $R$ -module, then the mapping  $\lambda$  is a homomorphism [16, Theorem 2.12]. In particular,  $\lambda$  is an isomorphism if and only if  $M$  is a finitely generated faithful multiplication module.

A proper submodule  $N$  of  $M$  is called a prime submodule if for  $r \in R$ ,  $m \in M$ ,  $rm \in N$  implies that  $r \in (N : M)$  or  $m \in N$ . Prime submodules have been introduced by J. Dauns in [3], and then this class of submodules has been extensively studied by several authors (see, for example, [4,7,13]). For a proper submodule  $N$  of an  $R$ -module  $M$  the radical of  $N$ , denoted by  $\text{rad } N$ , is the intersection of all prime submodules of  $M$  containing  $N$  or, in case there are no such prime submodules,  $\text{rad } N$  is  $M$  (see, for example, [5,8,9,10,11,14]). A submodule  $N$  of  $M$  is called a *radical submodule* if  $\text{rad } N = N$ . For an ideal  $I$  of a ring  $R$ , we assume throughout that  $\sqrt{I}$  denotes the radical of  $I$ . It is easily seen that the set of radical submodules of  $M$  with the following operations

$$N \vee L = \text{rad}(N + L) \quad \text{and} \quad N \wedge L = N \cap L$$

forms a lattice. We denote this lattice by  $\mathcal{R}(M)$ . In general  $\mathcal{R}(M)$  is not a sublattice of  $\mathcal{L}_R(M)$ . For example, let  $K$  be a field and  $R = K[X, Y]$  the polynomial ring in indeterminates  $X, Y$ . Moreover, let  $I = (X)$  and  $J = (X - Y^2)$ . It is easily seen that  $I, J \in \mathcal{R}(R)$ , but  $I + J \notin \mathcal{R}(R)$  since  $\sqrt{I + J} = \sqrt{(X, Y^2)} = (X, Y)$ .

Now consider the mappings  $\rho : \mathcal{R}(R) \rightarrow \mathcal{R}(M)$  defined by  $\rho(I) = \text{rad}(\lambda(I)) = \text{rad}(IM)$  and  $\sigma : \mathcal{R}(M) \rightarrow \mathcal{R}(R)$  defined by  $\sigma(N) = \mu(N) = (N : M)$ . It is shown that  $\rho$  is always a homomorphism, but  $\sigma$  is not so (see Example 2.3). We say that an  $R$ -module  $M$  is a  $\sigma$ -module if  $\sigma$  is a homomorphism. In this article, we show that several properties of  $\lambda$  and  $\mu$  remain valid for  $\rho$  and  $\sigma$ . In Theorem 2.11, it is proved that a finitely generated  $R$ -module  $M$  is a  $\sigma$ -module if and only if  $M$  is a multiplication module and so if and only if  $M$  is a  $\mu$ -module. It is also proved that the property of being a  $\sigma$ -module is a local property for finitely generated modules (Corollary 2.19).

An  $R$ -module  $M$  is said to be *primeful* if  $M = (0)$  or  $M \neq (0)$  and for each prime ideal  $P$  of  $R$  containing  $(0 : M)$ , there exists a prime submodule  $N$  of  $M$  such that  $(N : M) = P$ . For example, finitely generated modules and projective modules over integral domains are primeful (see [10, Theorem 2.2 and Corollary 4.3]). If  $M$  is a primeful faithful  $R$ -module, then  $\rho$  is an injection and hence  $\sigma$  is a surjection (Corollary 3.6). If  $M$  is a primeful module over a domain  $R$ , then  $\rho$  is an isomorphism if and only if  $\sigma$  is an isomorphism if and only if  $\lambda$  is an isomorphism if

and only if  $\mu$  is an isomorphism if and only if  $M$  is a faithful multiplication module (Theorem 3.8).

## 2. The mapping $\sigma$

We begin with some properties of radical of submodules which are frequently used in the rest of paper.

**Lemma 2.1.** (See [8, Proposition 2]) *Let  $N$  and  $L$  be submodules of an  $R$ -module  $M$ . Then*

- (1)  $N \subseteq \text{rad } N$ ,
- (2)  $\text{rad}(\text{rad } N) = \text{rad } N$ ,
- (3)  $\text{rad}(N \cap L) \subseteq \text{rad } N \cap \text{rad } L$ ,
- (4)  $\text{rad}(N + L) = \text{rad}(\text{rad } N + \text{rad } L)$ ,
- (5)  $\text{rad}(IM) = \text{rad}(\sqrt{I}M)$ ,
- (6)  $\sqrt{(N : M)} \subseteq (\text{rad } N : M)$ .

In [16], it is seen that  $\lambda$  is not a homomorphism in general. In contrast,  $\rho$  is a homomorphism because of the following:

$$\begin{aligned} \rho(I \vee J) &= \rho(\sqrt{I+J}) = \text{rad}(\sqrt{I+J}M) = \text{rad}((I+J)M) \\ &= \text{rad}(IM + JM) = \text{rad}(\text{rad}(IM) + \text{rad}(JM)) \\ &= \text{rad}(IM) \vee \text{rad}(JM) = \rho(I) \vee \rho(J). \end{aligned}$$

Using [9, Corollary 2 to Proposition 1], we have

$$\text{rad}((I \cap J)M) \subseteq \text{rad}(IM) \cap \text{rad}(JM) = \text{rad}(IJM) \subseteq \text{rad}((I \cap J)M).$$

Therefore,

$$\rho(I \wedge J) = \rho(I \cap J) = \text{rad}((I \cap J)M) = \text{rad}(IM) \cap \text{rad}(JM) = \rho(I) \wedge \rho(J).$$

Here, it is worth noting that  $\sigma$  is well-defined. In fact,  $\sqrt{(\text{rad } N : M)} \subseteq (\text{rad}(\text{rad } N) : M) = (\text{rad } N : M)$ . Also clearly  $(\text{rad } N : M) \subseteq \sqrt{(\text{rad } N : M)}$ . Thus  $\sqrt{(\text{rad } N : M)} = (\text{rad } N : M)$ . Therefore if  $N$  is a radical submodule, then  $\sqrt{(N : M)} = (N : M)$ . This means that  $(N : M)$  is a radical ideal and so  $\sigma$  is well-defined.

Recall that  $M$  is a  $\sigma$ -module in case the mapping  $\sigma$  is a homomorphism.

**Lemma 2.2.** *Let  $R$  be a ring and  $M$  an  $R$ -module. Then  $M$  is a  $\sigma$ -module if and only if  $(\text{rad}(N + L) : M) = \sqrt{(N : M) + (L : M)}$  for all radical submodules  $N$  and  $L$  of  $M$ .*

**Proof.** It is clear that  $\sigma(N \wedge L) = (N \cap L : M) = (N : M) \cap (L : M) = \sigma(N) \wedge \sigma(L)$  for all radical submodules  $N$  and  $L$  of  $M$ . Thus  $\sigma$  is a homomorphism if and only if  $\sigma(N \vee L) = \sigma(N) \vee \sigma(L)$  if and only if  $(\text{rad}(N + L) : M) = \sqrt{(N : M) + (L : M)}$  for all radical submodules  $N$  and  $L$  of  $M$ .  $\square$

Let  $M$  be an  $R$ -module and  $N$  a proper submodule of  $M$ . Let

$$E_M(N) = \{rx : r \in R \text{ and } x \in M \text{ such that } r^n x \in N \text{ for some } n \in \mathbb{N}\}.$$

The *envelop submodule* of  $N$  in  $M$  is defined to be the submodule of  $M$  generated by  $E_M(N)$ . An  $R$ -module  $M$  is said to *satisfy the radical formula* if  $\text{rad } N = RE_M(N)$ , for each submodule  $N$  of  $M$ . Now by using the above lemma, we give an example which shows  $\sigma$  need not be a homomorphism.

**Example 2.3.** Let  $R = \mathbb{Z}$  and  $M = \mathbb{Z} \oplus \mathbb{Z}$ . Let  $N = \mathbb{Z}(2, 0)$  and  $L = \mathbb{Z}(0, 2)$ . It is easily seen that  $E_M(\mathbb{Z}(2, 0)) = \mathbb{Z}(2, 0)$  and  $E_M(\mathbb{Z}(0, 2)) = \mathbb{Z}(0, 2)$ . Since, by [5, Corollary 12],  $M$  satisfies the radical formula, we have  $\text{rad } \mathbb{Z}(2, 0) = \mathbb{Z}(2, 0)$  and  $\text{rad } \mathbb{Z}(0, 2) = \mathbb{Z}(0, 2)$ . Thus  $N$  and  $L$  are radical submodules of  $M$ . Also clearly  $(N : M) = (L : M) = 0$ . Hence  $\sqrt{(N : M) + (L : M)} = 0$ . On the other hand, let  $r \in (N + L : M)$ . Then  $r(1, 0) \in N + L = \mathbb{Z}(2, 0) + \mathbb{Z}(0, 2)$  and hence there exist  $r_1, r_2 \in R$  such that  $r(1, 0) = (r, 0) = r_1(2, 0) + r_2(0, 2) = (2r_1, 2r_2)$ . Thus  $r = 2r_1$ . This shows that  $(N + L : M) \subseteq 2\mathbb{Z}$ . The reverse inclusion is obvious, and thus  $(N + L : M) = 2\mathbb{Z}$ . Hence, by [7, Proposition 2],  $N + L$  is a prime submodule of  $M$  and so  $\text{rad}(N + L) = N + L$ . Thus we have  $(\text{rad}(N + L) : M) = 2\mathbb{Z} \neq (0) = \sqrt{(N : M) + (L : M)}$ .

**Corollary 2.4.** Every finitely generated  $\mu$ -module is a  $\sigma$ -module.

**Proof.** Let  $M$  be a finitely generated  $\mu$ -module over a ring  $R$ . By [12, Theorem 4.4],

$$(\text{rad}(N + L) : M) = \sqrt{(N + L : M)} = \sqrt{(N : M) + (L : M)},$$

for all radical submodules  $N$  and  $L$  of  $M$ . Thus  $M$  is a  $\sigma$ -module by Lemma 2.2.  $\square$

In Theorem 2.11, we will show that a finitely generated module is a  $\sigma$ -module if and only if  $M$  is a  $\mu$ -module. Note that this fact is not true in general. See the following example.

**Example 2.5.** Let  $M = \mathbb{Z}(p^\infty)$ , the Prüfer  $p$ -group. Since  $M$  is a primeless  $\mathbb{Z}$ -module, by [13, Proposition 1.7]  $M' = M \oplus M$  is a primeless  $\mathbb{Z}$ -module. Hence  $M'$  is a  $\sigma$ -module, whereas it is not a  $\mu$ -module by [16, Corollary 3.3].

**Theorem 2.6.** *Let  $M$  be a  $\sigma$ -module over a ring  $R$  and let  $L, N$  be submodules of  $M$ .*

- (1) *If  $M = \text{rad}(N + L)$  (or in particular  $M = N + L$ ), then there exists  $a \in R$  such that  $aM \subseteq \text{rad } N$  and  $(1 - a)M \subseteq \text{rad } L$ .*
- (2) *If  $M$  is a finitely generated module such that  $M = N + L$ , then there exists  $a \in R$  such that  $aM \subseteq N$  and  $(1 - a)M \subseteq L$ .*

**Proof.** (1) By Lemma 2.2,  $R = (M : M) = (\text{rad}(N + L) : M) = (\text{rad}(\text{rad } N + \text{rad } L) : M) = \sqrt{(\text{rad } N : M) + (\text{rad } L : M)}$ . Thus  $R = (\text{rad } N : M) + (\text{rad } L : M)$ . Now the desired result is clear.

(2) Since  $M = N + L = \text{rad}(N + L)$ , by (1) we have  $R = (\text{rad } N : M) + (\text{rad } L : M)$ . Since  $M$  is finitely generated, by [12, Theorem 4.4],  $R = \sqrt{(N : M) + (L : M)}$  and hence  $R = (N : M) + (L : M)$ . Now, clearly the result follows.  $\square$

Using the previous theorem we are able to show that there is no integral domain, say  $R$ , such that any  $R$ -module is a  $\sigma$ -module. We will show that this statement is also true for each arbitrary ring (see Corollary 2.13).

**Corollary 2.7.** *Let  $R$  be an integral domain and  $P$  a non-zero prime ideal. Then the  $R$ -module  $M = P \oplus P$  is not a  $\sigma$ -module.*

**Proof.** Suppose that  $M = P \oplus P$  is a  $\sigma$ -module. By Theorem 2.6 (1), there exists  $a \in R$  such that  $a(P \oplus P) \subseteq \text{rad}(P \oplus 0) = \text{rad } P \oplus \text{rad } 0 = P \oplus 0$  and  $(1 - a)(P \oplus P) \subseteq \text{rad}(0 \oplus P) = \text{rad } 0 \oplus \text{rad } P = 0 \oplus P$ , so that  $aP = 0$  and  $(1 - a)P = 0$  giving  $P = 0$ , a contradiction.  $\square$

**Corollary 2.8.** *Let  $M$  be a  $\sigma$ -module over a ring  $R$ . Then*

- (1) *For each maximal ideal  $P$  of  $R$  either  $M = PM$  or there exist  $m \in M$  and  $p \in P$  such that  $(1 - p)M \subseteq \text{rad}(Rm)$ .*
- (2) *If  $M$  is a finitely generated module, then for each maximal ideal  $P$  of  $R$  there exist  $m \in M$  and  $p \in P$  such that  $(1 - p)M \subseteq Rm$ .*

**Proof.** Let  $P$  be a maximal ideal of  $R$  such that  $M \neq PM$ . We know that  $M/PM$  is a non-zero semisimple module and hence contains a maximal submodule. Assume that  $L$  be a maximal submodule of  $M$  such that  $PM \subseteq L$  and  $m \in M \setminus L$ .

(1) By Theorem 2.6 (1), there exists an element  $p \in R$  such that  $pM \subseteq L$  and  $(1 - p)M \subseteq \text{rad}(Rm)$ . If  $p \notin P$ , then  $R = P + Rp$  and hence  $M = PM + pM \subseteq L$ , a contradiction. Thus  $p \in P$ , as required.

(2) By [16, Corollary 3.4].  $\square$

**Lemma 2.9.** (See [4, Theorem 1.2]) *Let  $R$  be a ring. Then an  $R$ -module  $M$  is a multiplication module if and only if for each maximal ideal  $P$  of  $R$  either*

- (1) *for each  $m \in M$  there exists  $p \in P$  such that  $(1 - p)m = 0$ , or*
- (2) *there exist  $x \in M$  and  $q \in P$  such that  $(1 - q)M \subseteq Rx$ .*

**Lemma 2.10.** (See [16, Corollary 2.11]) *Let  $R$  be any ring. Then an  $R$ -module  $M$  is a finitely generated multiplication module if and only if for each maximal ideal  $P$  of  $R$  there exist  $m \in M$ ,  $p \in P$  such that  $(1 - p)M \subseteq Rm$ .*

**Theorem 2.11.** *Let  $R$  be any ring and  $M$  a finitely generated  $R$ -module. Then the following are equivalent.*

- (1)  *$M$  is a  $\sigma$ -module.*
- (2)  *$M$  is a multiplication module.*
- (3)  *$M$  is a  $\mu$ -module.*

**Proof.** (1)  $\Rightarrow$  (2) Let  $M$  be a  $\sigma$ -module. Then by Corollary 2.8 and Lemma 2.10,  $M$  is a multiplication module.

(2)  $\Rightarrow$  (1) Let  $M$  be a multiplication  $R$ -module. Since  $M$  is finitely generated, by [15, Exercise 9.23],  $\sqrt{(IM : M)} = \sqrt{I + (0 : M)}$  (\*) for all ideals  $I$  of  $R$ . Now, let  $N$  and  $L$  be submodules of  $M$ . Consider the finitely generated  $R$ -module  $M/L$  and the ideal  $(N : M)$  instead of  $M$  and  $I$ , in (\*), respectively. Then

$$\begin{aligned} \sqrt{(N : M) + (L : M)} &= \sqrt{(N : M) + (0 : M/L)} \\ &= \sqrt{((N : M)(M/L) : M/L)} \\ &= \sqrt{(((N : M)M + L) / L : M/L)} \\ &= \sqrt{((N : M)M + L : M)} \\ &= \sqrt{(N + L : M)} = (\text{rad}(N + L) : M). \end{aligned}$$

Thus  $M$  is a  $\sigma$ -module.

(2)  $\Leftrightarrow$  (3) follows from [16, Theorem 3.8]. □

**Corollary 2.12.** *Let  $M$  be a finitely generated  $R$ -module. Then the following statements are equivalent.*

- (1)  *$(N + L : M) = (N : M) + (L : M)$  for all submodules  $N$  and  $L$  of  $M$ .*
- (2)  *$(\text{rad}(N + L) : M) = \sqrt{(N : M) + (L : M)}$  for all radical submodules  $N$  and  $L$  of  $M$ .*

**Proof.** It is clear, by Theorem 2.11 and definitions of a  $\sigma$ -module and a  $\mu$ -module. □

**Corollary 2.13.** *Let  $R$  be any (non-zero) ring and let  $M$  be a non-zero finitely generated  $R$ -module. Then the  $R$ -module  $M \oplus M$  is not a  $\sigma$ -module.*

**Proof.** Use Theorem 2.11 and [16, Corollary 3.3]. □

**Corollary 2.14.** *Let  $M$  be an  $R$ -module. Then the following statements are equivalent.*

- (1) *Every finitely generated submodule of  $M$  is a  $\sigma$ -module.*
- (2) *Every finitely generated submodule of  $M$  is a  $\mu$ -module.*
- (3)  *$R = (Rx : Ry) + (Ry : Rx)$  for all elements  $x, y \in M$ .*

**Proof.** (1)  $\Rightarrow$  (3) Let  $x, y \in M$ . Then

$$\begin{aligned} R &= (\text{rad}(Rx + Ry) : Rx + Ry) = \sqrt{(Rx : Rx + Ry) + (Ry : Rx + Ry)} \\ &= \sqrt{(Rx : Ry) + (Ry : Rx)}. \end{aligned}$$

Thus  $R = (Rx : Ry) + (Ry : Rx)$ .

(3)  $\Rightarrow$  (2) is obtained from [16, Corollary 3.9].

(2)  $\Rightarrow$  (1) Clear by Theorem 2.11. □

A ring  $R$  is called *arithmetical* if  $I \cap (J + K) = (I \cap J) + (I \cap K)$  for any ideals  $I, J$  and  $K$  of  $R$ .

**Corollary 2.15.** *Let  $R$  be a ring. Then the following statements are equivalent.*

- (1)  *$R$  is an arithmetical ring.*
- (2) *Every finitely generated ideal of  $R$  is a  $\sigma$ -module.*

**Proof.** By Corollary 2.14 and [6, Exercise 18, p. 150]. □

**Remark 2.16.** *Let  $R$  be a domain with the field of fractions  $K$ . A non-zero ideal  $I$  of  $R$  is called invertible provided  $I^{-1}I = R$  where  $I^{-1} = \{k \in K : kI \subseteq R\}$ . The domain  $R$  is called Prüfer when every non-zero finitely generated ideal of  $R$  is invertible. By [6, Theorem 6.6 and Exercise 18, p 150], a domain  $R$  is Prüfer if and only if  $R$  is arithmetical. Thus, by Corollary 2.15, a domain  $R$  is Prüfer if and only if every finitely generated ideal of  $R$  is a  $\sigma$ -module. Using this fact, we conclude that a submodule of a  $\sigma$ -module need not be a  $\sigma$ -module.*

**Corollary 2.17.** *Let  $M$  be a module over a local ring  $R$ . Then the following are equivalent.*

- (1)  *$M$  is a chain module.*
- (2) *Every finitely generated submodule of  $M$  is a  $\sigma$ -module.*

(3) *Every finitely generated submodule of  $M$  is cyclic.*

*In particular, if  $R$  is a local domain, then  $R$  is a valuation domain if and only if every finitely generated ideal of  $R$  is a  $\sigma$ -module.*

**Proof.** The result follows by combining [16, Proposition 3.15] and Theorem 2.11.  $\square$

In the following  $R_S$  and  $M_S$  denote the ring of fractions and the module of fractions, respectively.

**Lemma 2.18.** *Let  $R$  be a ring and  $M$  be a finitely generated  $\mu$ -module ( $\sigma$ -module) over  $R$ . Also, let  $S$  be a multiplicatively closed subset of  $R$ . Then  $M_S$  is a  $\mu$ -module ( $\sigma$ -module) over  $R_S$ .*

**Proof.** Let  $M$  be a  $\mu$ -module over  $R$ . Let  $N_S$  and  $L_S$  be submodules of  $M_S$ . Then

$$\begin{aligned} (N_S + L_S : M_S) &= ((N + L)_S : M_S) = ((N + L) : M)_S \\ &= ((N : M) + (L : M))_S = (N : M)_S + (L : M)_S \\ &= (N_S : M_S) + (L_S : M_S). \end{aligned}$$

Thus  $M_S$  is a  $\mu$ -module. Also, if  $M$  is a finitely generated  $\sigma$ -module, then by Theorem 2.11,  $M_S$  is a  $\sigma$ -module.  $\square$

Now we prove that the property of being  $\sigma$ -module is a local property for finitely generated modules. Let  $M$  be an  $R$ -module and  $P$  a prime ideal of  $R$ . We write  $M_P$  instead of  $M_S$  when  $S = R \setminus P$ .

**Theorem 2.19.** *Let  $R$  be a ring and  $M$  be a finitely generated  $R$ -module. Then the following are equivalent.*

- (1)  $M$  is a  $\sigma$ -module.
- (2)  $M_P$  is a  $\sigma$ -module for all prime ideals  $P$  of  $R$ .
- (3)  $M_{\mathfrak{m}}$  is a  $\sigma$ -module for all maximal ideals  $\mathfrak{m}$  of  $R$ .

**Proof.** (1)  $\Rightarrow$  (2) follows from Lemma 2.18.

(2)  $\Rightarrow$  (3) Clear.

(3)  $\Rightarrow$  (1) Let  $N$  and  $L$  be submodules of  $M$ . Since  $M_{\mathfrak{m}}$  is a finitely generated  $\sigma$ -module over  $R_{\mathfrak{m}}$ , by Theorem 2.11,  $M_{\mathfrak{m}}$  is a  $\mu$ -module. Thus for any maximal ideal  $\mathfrak{m}$  of  $R$ ,  $(N_{\mathfrak{m}} + L_{\mathfrak{m}} : M_{\mathfrak{m}}) = (N_{\mathfrak{m}} : M_{\mathfrak{m}}) + (L_{\mathfrak{m}} : M_{\mathfrak{m}})$  and hence  $(N + L : M)_{\mathfrak{m}} = ((N : M) + (L : M))_{\mathfrak{m}}$ . Now since “ $=$ ” is a local property, we have  $(N + L : M) = (N : M) + (L : M)$ . Thus  $M$  is a finitely generated  $\mu$ -module and is a  $\sigma$ -module by Theorem 2.11.  $\square$



**Proposition 2.20.** *Every homomorphic image of a  $\sigma$ -module is a  $\sigma$ -module.*

**Proof.** Let  $M$  and  $M'$  be  $R$ -modules and  $M$  a  $\sigma$ -module. Suppose that  $\varphi : M \rightarrow M'$  be an epimorphism. Then,  $\text{Im } \varphi = M'/K$  for some submodule  $K$  of  $M'$ . Now it is enough to show that  $\overline{M} = M'/K$  is a  $\sigma$ -module. For any submodule  $\overline{H}$  of  $\overline{M}$ , we have  $\overline{H} = H'/K$  for some submodule  $H'$  of  $M'$  with  $H' \supseteq K$ . Clearly  $(\overline{H} : \overline{M}) = (H' : M')$ . Now let  $\overline{N} = N'/K$  and  $\overline{L} = L'/K$  be submodules of  $\overline{M}$ . Using [11, Corollary 1.3],

$$\begin{aligned} (\text{rad}(\overline{N} + \overline{L}) : \overline{M}) &= (\text{rad}(N' + L') : M') = (\text{rad}(N' + L') : M') \\ &= \sqrt{(N' : M') + (L' : M')} = \sqrt{(\overline{N} : \overline{M}) + (\overline{L} : \overline{M})}. \end{aligned}$$

Thus  $\overline{M}$  is a  $\sigma$ -module.  $\square$

**Corollary 2.21.** *Let  $R$  be a ring. Then every cyclic  $R$ -module  $M$  is a  $\sigma$ -module. The converse is true when  $M$  is finitely generated and  $R$  is local.*

**Proof.** Since  $R$  is a  $\sigma$ -module over  $R$ , it is clear that every cyclic  $R$ -module is also a  $\sigma$ -module by Proposition 2.20. For the converse let  $R$  be a local ring with the maximal ideal  $P$ , and  $M$  a non-zero finitely generated  $\sigma$ -module over  $R$ . Then by [1, Corollary 2.5],  $M \neq PM$ . Now by Corollary 2.8, there exist  $p \in P$  and  $m \in M$  such that  $(1 - p)M \subseteq Rm$ . Hence  $M = Rm$ .  $\square$

### 3. Surjectivity and injectivity of $\rho$ and $\sigma$

Let  $R$  be a ring and let  $M$  be an  $R$ -module. Recall that  $\rho : \mathcal{R}(R) \rightarrow \mathcal{R}(M)$  is a mapping defined by  $\rho(I) = \text{rad}(\lambda(I)) = \text{rad}(IM)$  for all radical ideals  $I$  of  $R$  and  $\sigma : \mathcal{R}(R) \rightarrow \mathcal{R}(M)$  is a mapping defined by  $\sigma(N) = \mu(N) = (N : M)$  for all radical submodules  $N$  of  $M$ . Thus the surjectivity of  $\lambda$  implies the surjectivity of  $\rho$  and the injectivity of  $\mu$  implies the injectivity of  $\sigma$ . In this section, we will investigate the conditions under which  $\rho$  and  $\sigma$  are injective or surjective. The following lemma plays an important role in this way.

**Lemma 3.1.** *The following holds for the mappings  $\rho$  and  $\sigma$ .*

- (1)  $\sigma\rho\sigma = \sigma$ .
- (2)  $\rho\sigma\rho = \rho$ .

**Proof.** (1) Let  $N$  be a radical submodule of  $M$ . Then

$$\sigma\rho\sigma(N) = \sigma\rho((N : M)) = \sigma(\text{rad}((N : M)M)) = (\text{rad}((N : M)M) : M).$$

We show that  $(\text{rad}((N : M)M) : M) = (N : M)$ . Since  $N$  is a radical submodule,  $(N : M)M \subseteq N$  implies that  $\text{rad}((N : M)M) \subseteq N$ . Thus  $(\text{rad}((N : M)M) : M) \subseteq$

$(N : M)$ . On the other hand  $(N : M) \subseteq ((N : M)M : M) \subseteq (\text{rad}((N : M)M) : M)$  which implies the desired equality. That is,  $\sigma\rho\sigma(N) = \sigma(N)$ .

(2) Let  $I$  be a radical ideal of  $R$ . Then

$$\rho\sigma\rho(I) = \rho\sigma(\text{rad}(IM)) = \rho((\text{rad}(IM) : M)) = \text{rad}((\text{rad}(IM) : M)M).$$

Thus  $\rho\sigma\rho(I) = \text{rad}((\text{rad}(IM) : M)M)$ . Now,  $(\text{rad}(IM) : M)M \subseteq \text{rad}(IM)$ , implies that  $\text{rad}((\text{rad}(IM) : M)M) \subseteq \text{rad}(IM)$ . On the other hand  $IM \subseteq \text{rad}(IM)$  implies that  $I \subseteq (\text{rad}(IM) : M)$  and hence  $IM \subseteq (\text{rad}(IM) : M)M$  which gives  $\text{rad}(IM) \subseteq \text{rad}((\text{rad}(IM) : M)M)$ . Thus  $\text{rad}((\text{rad}(IM) : M)M) = \text{rad}(IM)$ , that is  $\rho\sigma\rho(I) = \rho(I)$ .  $\square$

**Theorem 3.2.** *With the above notation, the following statements are equivalent.*

- (1)  $\rho$  is a surjection.
- (2)  $\rho\sigma = 1$ .
- (3)  $N = \text{rad}((N : M)M)$  for every radical submodule  $N$  of  $M$ .
- (4)  $\sigma$  is an injection.

**Proof.** (1)  $\Rightarrow$  (2) Let  $N \in \mathcal{R}(M)$ . Since  $\rho$  is a surjection, then there exists an ideal  $I$  of  $R$  such that  $\rho(I) = N$ . Thus  $\rho\sigma(N) = \rho\sigma\rho(I) = \rho(I) = N$ .

(4)  $\Rightarrow$  (2) Since  $\sigma\rho\sigma = \sigma$ , we have  $\sigma\rho\sigma(N) = \sigma(N)$  for  $N \in \mathcal{R}(M)$ . Since  $\sigma$  is injective, we get  $\rho\sigma(N) = N$ . Thus  $\rho\sigma = 1$ .

(2)  $\Leftrightarrow$  (3), (2)  $\Rightarrow$  (4) and (2)  $\Rightarrow$  (1) are clear.  $\square$

**Theorem 3.3.** *Let  $M$  be an  $R$ -module. Then the following statements are equivalent.*

- (1)  $\rho$  is an injection.
- (2)  $\sigma\rho = 1$ .
- (3)  $I = (\text{rad}(IM) : M)$  for every radical ideal  $I$  of  $R$ .
- (4)  $\sigma$  is a surjection.

**Proof.** Similar to the proof of the previous theorem.  $\square$

**Corollary 3.4.** *Let  $M$  be an  $R$ -module. Then the mapping  $\rho$  is a bijection if and only if  $\sigma$  is a bijection. In this case  $\rho$  and  $\sigma$  are inverses of each other.*

**Corollary 3.5.** *If  $\rho$  is an injection, then  $\sqrt{(0 : M)} = (\text{rad } 0 : M)$ .*

**Proof.** By (3) of Theorem 3.3 and (5) of Lemma 2.1,  $\sqrt{(0 : M)} = (\text{rad}(\sqrt{(0 : M)}M) : M) = (\text{rad}((0 : M)M) : M) = (\text{rad } 0 : M)$ .  $\square$

Let  $M$  be a nonzero finitely generated  $R$ -module and  $I$  a radical ideal of  $R$ . Then, by [10, Proposition 5.3],  $(\text{rad}(IM) : M) = \sqrt{IM : M}$ . Also  $(IM : M) = I$  if and only if  $(0 : M) \subseteq I$ , by [10, Proposition 3.1]. Thus, using Theorem 3.3, (1)  $\Leftrightarrow$  (3), we have the following result.

**Corollary 3.6.** *Let  $R$  be a ring and  $M$  be a primeful faithful  $R$ -module. Then  $\rho$  is an injection and hence  $\sigma$  is a surjection.*

In the following example, we show that the mapping  $\rho$  may be a monomorphism (resp. an epimorphism) but not an epimorphism (resp. a monomorphism).

**Example 3.7.** (1) *Every free  $R$ -module  $F$  is a primeful module. Indeed, for every prime ideal  $p$  of  $R$ ,  $(pF : F) = p$ . Thus, by Corollary 3.6,  $\rho$  is a monomorphism. Now, let  $0 \in \mathcal{R}(R)$ ,  $F = R \oplus R$ , and  $I$  be a non-zero radical ideal of  $R$ . Then  $0 \oplus I$  is a non-zero radical submodule of  $F$  by [14, Lemma 2.1]. Hence,  $\rho(J) = J \oplus J \neq 0 \oplus I$  for each radical ideal  $J$  of  $R$ , i.e.,  $\rho$  is not an epimorphism.*

(2) *We know that an  $R$ -module  $M$  is a multiplication module if and only if the mapping  $\lambda$  is an epimorphism. However for every multiplication module,  $\rho$  is an epimorphism but the converse is not true in general. Primeless modules are the simplest examples for this case. Let  $M$  be a primeless  $R$ -module. Then  $\mathcal{R}(M) = \{M\}$  and we have  $\rho(I) = \text{rad}(IM) = M$  for all (radical) ideals  $I$  of  $R$ . Hence  $\rho$  is an epimorphism but  $M$  need not be a multiplication module. For example, let  $R = \mathbb{Z}$ ,  $p$  be a prime integer and let  $M$  be the primeless  $\mathbb{Z}$ -module  $\mathbb{Z}(p^\infty) \oplus \mathbb{Z}_p$ , where  $\mathbb{Z}_p$  denotes the cyclic group of order  $p$ . Thus  $\rho$  is an epimorphism while, by [13, Example 3.7],  $M$  is not a multiplication  $R$ -module. Also it is clear that in this case  $\rho$  is not a monomorphism.*

**Theorem 3.8.** *Let  $R$  be a ring and  $M$  an  $R$ -module. Consider the following statements:*

- (1) *The mapping  $\rho : \mathcal{R}(R) \rightarrow \mathcal{R}(M)$  is an isomorphism.*
- (2) *The mapping  $\sigma : \mathcal{R}(M) \rightarrow \mathcal{R}(R)$  is an isomorphism.*
- (3) *The mapping  $\lambda : \mathcal{L}(R) \rightarrow \mathcal{L}_R(M)$  is an isomorphism.*
- (4) *The mapping  $\mu : \mathcal{L}_R(M) \rightarrow \mathcal{L}(R)$  is an isomorphism.*
- (5)  *$M$  is a multiplication module such that  $I = (IM : M)$  for every ideal  $I$  of  $R$ .*
- (6)  *$M$  is a faithful multiplication module.*

*Then (1) and (2) are equivalent. In particular, if  $R$  is an integral domain and  $M$  a primeful  $R$ -module, then all the above statements are equivalent.*

**Proof.** (1)  $\Leftrightarrow$  (2) By Theorem 3.2 and Theorem 3.3,  $\rho$  is a bijection if and only if  $\sigma$  is a bijection. Using [16, Lemma 1.2], we conclude that  $\rho$  is an isomorphism if and only if  $\sigma$  is an isomorphism.

(2)  $\Rightarrow$  (6) Let  $\sigma$  be an isomorphism. Then  $M$  is a  $\sigma$ -module and hence a multiplication module by Theorem 2.11. Also by Theorem 3.3 (4)  $\Rightarrow$  (3), we have  $\sqrt{(0 : M)} = (\text{rad}(\sqrt{(0 : M)}M) : M) = (\text{rad}((0 : M)M) : M) = (\text{rad } 0 : M) = (\text{rad}(0M) : M) = 0$ . Hence  $\sqrt{(0 : M)} = 0$  which implies that  $(0 : M) = 0$ , i.e.,  $M$  is faithful.

(6)  $\Rightarrow$  (1) Let  $M$  be a faithful multiplication  $R$ -module. Let  $N$  be a radical submodule of  $M$ . Then  $N = IM$  for some ideal  $I$  of  $R$  and we have  $\rho(\sqrt{I}) = \text{rad}(\sqrt{I}M) = \text{rad}(IM) = \text{rad } N = N$ . Also, let  $I$  and  $J$  be radical ideals of  $R$  and  $\rho(I) = \rho(J)$ . Then, by [4, Theorem 2.12],  $IM = \sqrt{I}M = \text{rad}(IM) = \text{rad}(JM) = \sqrt{J}M = JM$ . Since  $M$  is a multiplication primeful module, by [10, Proposition 3.8], it is finitely generated and hence by [4, Theorem 3.1],  $I = J$ . Therefore  $\rho$  is an isomorphism.

(3) – (6) are equivalent by [16, Theorem 4.3 and Corollary 4.5].  $\square$

**Lemma 3.9.** *Let  $M$  be a simple  $R$ -module. Then*

- (1)  $r \in (0 : M)$  if and only if  $r^2 \in (0 : M)$ .
- (2)  $0$  is a prime submodule of  $M$  and hence  $\text{rad } 0 = 0$ .

**Proof.** Straightforward.  $\square$

**Proposition 3.10.** *Let  $R$  be a ring and let  $M$  be a semisimple  $R$ -module. If  $\rho$  is a monomorphism, then  $R$  is von Neumann regular.*

**Proof.** Let  $M = \bigoplus_{i \in I} M_i$  for some non-empty family of simple  $R$ -modules  $M_i$  ( $i \in I$ ) and  $0 \neq r \in R$ . For each  $i \in I$  let  $P_i = (0 : M_i)$ . Then, using Lemma 3.9,  $\rho(Rr) = \text{rad}(Rr(\bigoplus_{j \in J} M_j)) = \text{rad}(\bigoplus_{j \in J} M_j) = \text{rad}(Rr^2(\bigoplus_{j \in J} M_j)) = \rho(Rr^2)$ , where  $J \subseteq I$  such that  $r \notin \bigcup_{j \in J} P_j$ . Hence  $Rr = Rr^2$  and therefore  $R$  is von Neumann regular.  $\square$

The semisimplicity of  $M$  in Proposition 3.10 is necessary. For example, if  $F$  is a free  $R$ -module, then  $\rho$  is a monomorphism, but  $R$  need not be a von Neumann regular ring.

An  $R$ -module  $M$  is said to be *local* if it has the largest proper submodule. Note that an  $R$  module  $M$  can have a unique maximal submodule without being local. For example, let  $p$  be a prime integer. Then the  $\mathbb{Z}$ -module  $\mathbb{Q} \oplus \mathbb{Z}/p\mathbb{Z}$  have the

unique maximal submodule  $\mathbb{Q} \oplus 0$ , but it is not local because of  $0 \oplus \mathbb{Z}/p\mathbb{Z} \not\subseteq \mathbb{Q} \oplus 0$ . The following proposition may be compared with [16, Proposition 3.12].

**Proposition 3.11.** *Let  $R$  be a domain which is not a field, and  $M$  a non-zero injective local  $R$ -module. Then*

- (1) *The homomorphism  $\rho$  is neither a monomorphism nor an epimorphism.*
- (2) *The mapping  $\sigma$  is a homomorphism which is neither a monomorphism nor an epimorphism.*

**Proof.** Since  $R$  is a domain and  $M$  is injective,  $M$  is divisible. Thus  $IM = M$ , for all non-zero ideal  $I$  of  $R$  and  $(N : M) = 0$  for all proper submodule  $N$  of  $M$ .

(1) Let  $0 \neq r \in R$  be a non-unit. Then  $\rho(\sqrt{Rr}) = \text{rad}(\sqrt{Rr}M) = \text{rad} M = M = \rho(R)$ . Hence  $\rho$  is not a monomorphism. Clearly every maximal ideal of  $R$  is non-zero and hence divisibility of  $M$  implies that  $M = PM$  for all maximal ideals  $P$  of  $R$ . Thus  $M$  is not finitely generated and therefore it is not simple. Now let  $Q$  be a non-zero proper submodule of  $M$ . Then,  $\text{rad} Q$  is non-zero and contained in  $M$  properly. Hence, we have  $\text{rad} Q \neq \rho(q) = M$  for any ideal  $q$  of  $R$ , and thus  $\rho$  is not an epimorphism.

(2) Let  $M$  be a local  $R$ -module and  $N, L$  be proper submodules of  $M$ . Then  $\text{rad}(N + L) \neq M$  and hence  $(\text{rad}(N + L) : M) = 0 = \sqrt{(N : M) + (L : M)}$ . Thus  $\sigma$  is a homomorphism. The last part follows from (1) and Theorem 3.2 and Theorem 3.3.  $\square$

**Acknowledgment.** We would like to thank the referee for a careful reading of our article and valuable comments.

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